

MOODY'S

Orbis for Compliance

The world's most powerful comparable data resource

With 528+ million entities in Orbis, you can enhance your Anti-Money Laundering (AML), Compliance and Third-Party Risk Management, Know Your Supplier (KYS) research, reputation risk management, and Client Due Diligence (CDD).





Orbis is the world's most powerful comparable data resource on private companies

Orbis contains a wide variety of data that is appended and standardized to make it richer, more powerful, and easier to integrate. We capture and treat data from 160+ providers, and hundreds of our own sources.

Find the risks hiding in complex structures and networks for better, faster risk decisions.

UNIQUE IDENTIFIERS

Orbis provides you with unique identifying data for each company, which can be crucial for successful integration projects.

- BvD ID number, created from each business's national company number
- Orbis ID number, a unique 9-digit entity identifier
- Local National ID numbers
- Other important ID numbers, including LEI (Legal Entity Identifier) numbers

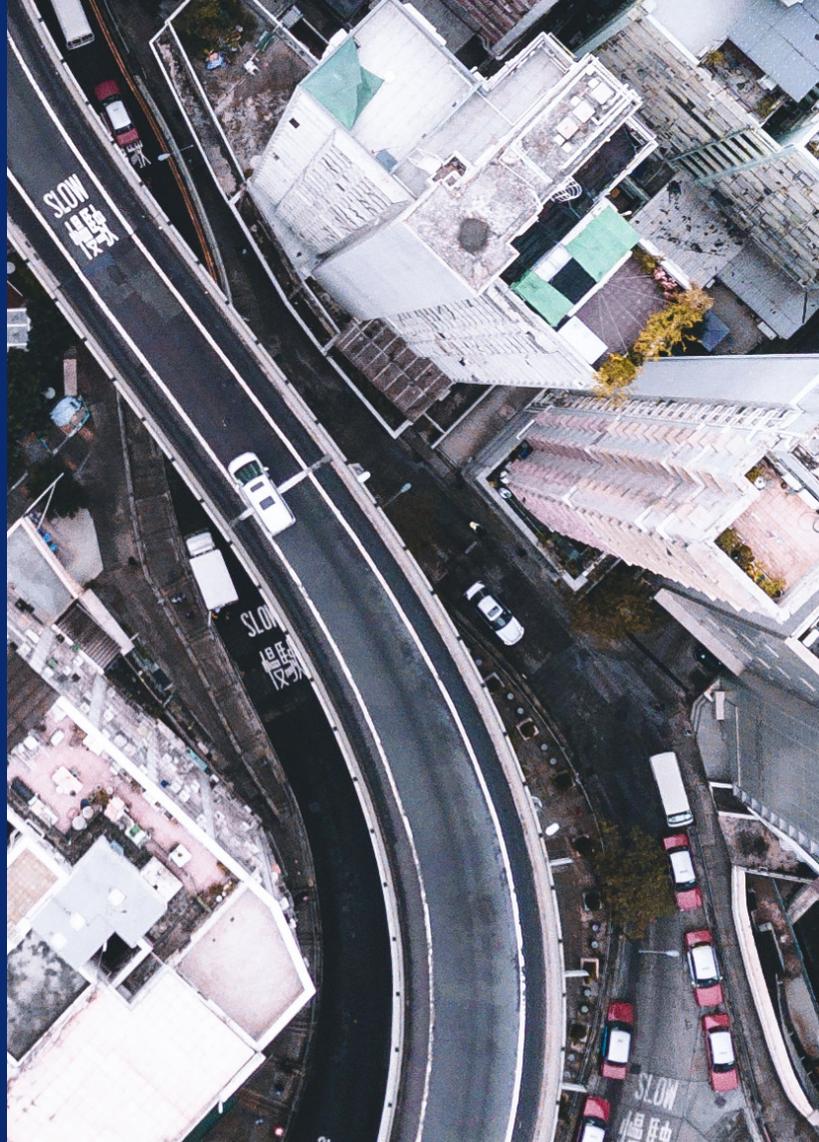
CORPORATE OWNERSHIP INFORMATION AND BENEFICIAL OWNERSHIP

This comprehensive and highly regarded dataset is exclusively available in Moody's Analytics products and includes information on:

- Shareholdings and subsidiaries
- Direct and indirect ownership
- Ultimate beneficial owners – domestic and global
- Independence indicators
- Corporate groups – all companies with the same ultimate owner as the subject company
- Company tree diagrams
- Beneficial ownership – defined by your risk appetite and chosen percentage thresholds, an entity's beneficial owners are isolated and brought to the surface in a dedicated module you can subscribe to.

In addition, Orbis structures this data so you can:

- Calculate ownership using either “bottom up” or “top down” approaches
- Edit definitions of ownership, by setting your own percentage thresholds



PEPS, SANCTIONS AND OTHER WATCHLISTS

Orbis helps you reduce compliance-related risk by checking individuals, companies and corporate groups against PEPs, sanctions and other watchlists.

PEOPLE INFORMATION, FIRMOGRAPHICS AND CONTACTS

Orbis offers an extensive database of relevant people within or connected to companies, such as:

- Advisors
- Auditors
- Board members
- Directors
- Senior managers
- Other contacts

Results show current and previous positions, as well as their connections to other contacts.



FINANCIAL DATA & FINANCIAL STRENGTH METRICS

Get financial information on corporates, banks, and insurance companies.

Assessment models, supplied by six independent and highly respected providers, offer you:

- Scores on 40 million companies, based on financials
- Metrics that you can compare across borders and industries
- Alerts that monitor changes for you
- A clear dashboard overview, plus detailed datasheets, including the underlying ratios and historical data and trends
- An immediate understanding of a company's financial strengths and weaknesses, including its probability of default
- Qualitative scores – for where financial information is not available

NEWS

Stay up to date with the latest company-related news stories from around the world with Orbis's news service, which also highlights news with a negative sentiment to help you make the best-informed decisions. Updated every 15 minutes, our news service collates relevant stories from reliable sources.

MARINE VESSELS DATA

Use Orbis to check if certain ships appear on any sanctions lists.

ORIGINAL DOCUMENTS

Take advantage of a variety of resources, including:

- Locally filed "scanned images" and links to documents filed at registries
- The ability to order copies of official business documents from around the world, plus reports for companies not currently on Orbis
- PDFs of annual reports

AML DOCUMENTATION FOR FINANCIAL INSTITUTIONS

- AML policies
- Articles of association
- Banking licenses
- Certificates of incorporation
- Extracts from commercial registers
- Lists of regulated financial institutions per regulator within each country
- US Patriot Act certification

OTHER COMPANY INFORMATION

Get accurate, updated company details, such as:

- Legal status
- Date of incorporation
- Entity's industry and country/political regime
- Indication that an entity has been awarded a public tender
- Cyber risk ratings by Bitsight

Orbis overview

We capture data from regulatory and other sources

Industry research | News | M&A | deals | Company overviews | Company financials | Royal agreement
Corporate actions | Industry codes | Directors & contacts | Marine vessels | Original documents | Agency ratings
PIEs Shareholders & subsidiaries | LEI numbers | Patents | ESG risk info | Intellectual property
AML documents | Stock data & earning estimates | Financial strength data
PEPs & sanctions | Public tenders | Cyber risk ratings

We treat data to add value

Linking
data sources

Creating unique
identifiers

Linking directors
and contacts

Applying data
verification

Applying cleansing
& quality control

Identifying
beneficial owners

Appending & linking
corporate structures

Standardizing
financials & ratios

Integrating M&A
deals & rumors

Adding bespoke
research

User, interface, data visualization, analysis & integration

Interface

Catalyst

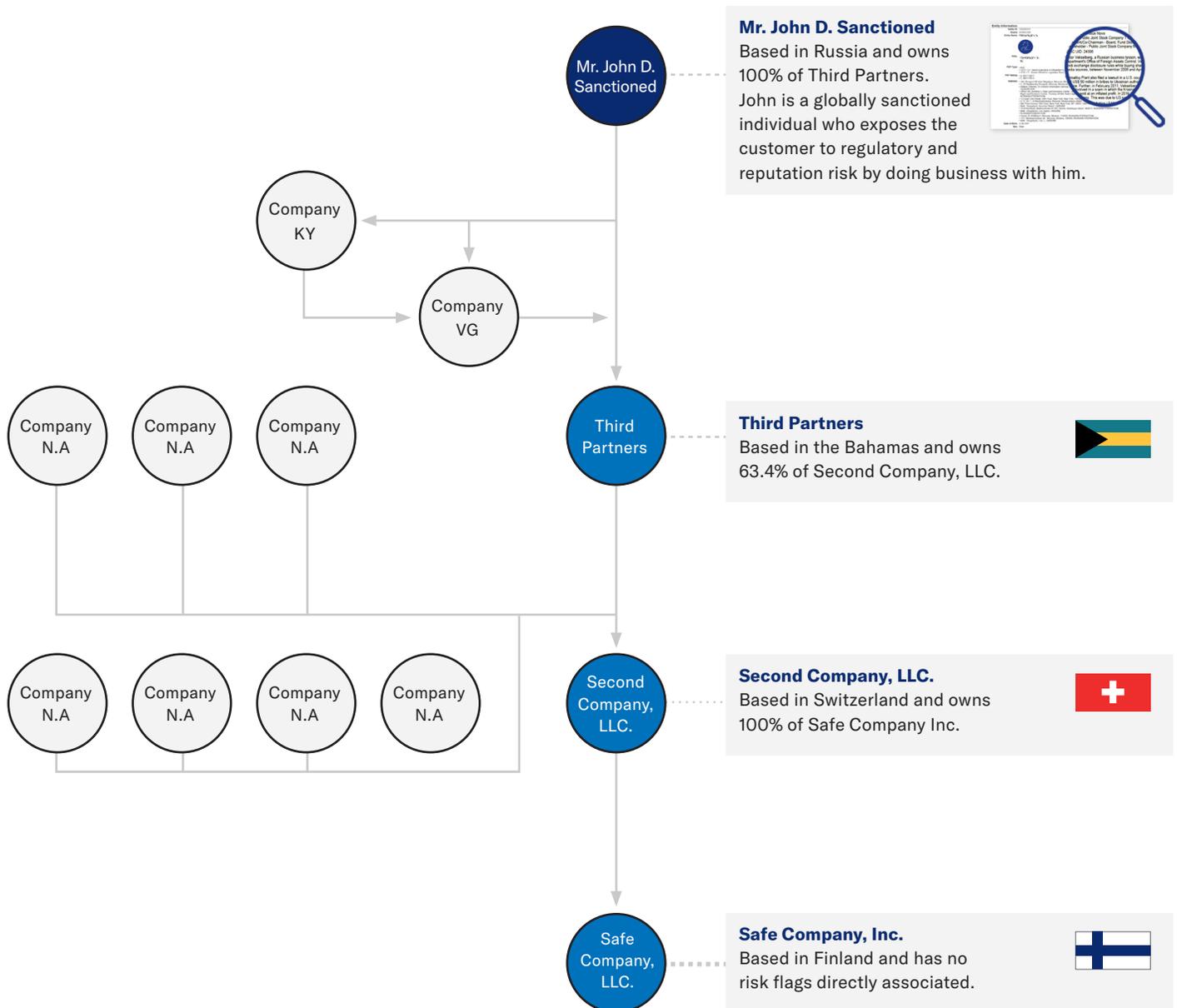
Bulk

Orbis can support your toughest due diligence requirements

OWNERSHIP AND CONTROL

We are experts in corporate ownership and the go-to resource for beneficial ownership. Our data shows you 1.9bn ownership links, 1.7bn historic ownership links, and 218m active ownership links. Our corporate trees reveal the ownership of your potential and existing business partners and third-party associates, including the beneficial owners, so you can review their corporate group.

Understand control and influence, regardless of ownership, by revealing the most influential shareholders in a company and different permutations of shareholders who can form decision-influencing coalitions with Power Score. It helps you understand which shareholders effectively control the company and have the strongest influence on voting results and decision-making.





SANCTIONS SCREENING

We combine timely sanctions data with detailed ownership and control information drawn from a range of sources to enable robust risk analysis of companies around the world and updates to sanctions lists are processed and available to customers within one business day of publication. Orbis identifies individuals in the ownership structure creating a clear link between sanctioned entities and those sanctioned by extension.

KNOW YOUR SUPPLIER (KYS)

Confidently assess a supplier's financial viability across the globe using standardized financial data and comparable financial strength indicators. Orbis provides company information to help you be more effective in your supplier selection and appraisals, see potential risks in your network, and monitor changes to suppliers so you can be proactive in your risk management.

ONBOARDING

Getting the correct entity match at the beginning of your research process is vital for accuracy and efficiency – by providing official entity names for so many organizations, we make this as straightforward and accurate as possible. We help you get to the data you need faster and with helpful visualization tools, allowing you to automate much of your onboarding processes.



About Moody's Compliance and Third-Party Risk Management

Moody's is transforming compliance and third-party risk management. Integrating award-winning data, workflow automation, and AI-driven solutions, we are creating a world where risk is understood so decisions can be made with confidence.

With innovative technology and industry expertise, Moody's automates perpetual monitoring of counterparty risk across global networks in near real-time. We work with customers to shape their know your customer (KYC), anti-financial crime, risk, and compliance programs around their risk appetite, operational needs, and strategic goals.

Customers build a unique risk management ecosystem, tailored around their policies and compliance obligations. Leveraging Moody's digital-first solutions for efficiency, scalability, and flexibility, customers manage processes from customer and supplier onboarding to enhanced due diligence and risk monitoring.

Moody's is helping customers build a picture of risk across 197 countries, and 211 jurisdictions, screening against our database of +21 million risk profiles, +528 million entities, and +52,000 sanctioned entities.

Our data-driven solutions empower risk and compliance professionals to make decisions efficiently and effectively, using a risk-based approach. Staying ahead of risks and ensuring the integrity of operations.

Visit: www.moody's.com/kyc

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AMERICAS

+1.212.553.1653

clientservices@moodys.com

EMEA

+44.20.7772.5454

clientservices.emea@moodys.com

ASIA (Excluding Japan)

+852.3551.3077

clientservices.asia@moodys.com

JAPAN

+81.3.5408.4100

clientservices.japan@moodys.com

